

OPTICAL NEAR-FIELD DIRECTED PATTERNING OF SUBMICRON-SIZED PARTICLES

Hiroshi Morii¹, Takeshi Ooi¹, Kensuke Tsuchiya², Tetuya Hamaguchi¹, and Masayuki Nakao¹

¹ Department of Engineering Synthesis, The University of Tokyo
Bunkyo-ku, Tokyo, Japan

² Institute of Industrial Science, The University of Tokyo
Meguro-ku, Tokyo, Japan

INTRODUCTION

With the recent advancements in the field of fine particle engineering, it has become possible to manufacture particles of various homogeneous and composite materials in near uniform distribution sizes with diameter as small as several nanometers. Many are already widely available commercially and are considered to be directly useful as materials for bottom-up fabrication of devices such as photonic crystals, flat panel displays, and biomedical sensors. Accompanying the advancement in particle synthesis, there is an increasing interest in manipulating and ordering micron- and submicron-sized particles into patterns and structures.

The success of trapping micron-sized dielectric particles in a single beam gradient force optical trap by A. Askin *et al* has unfolded the possibility of manipulating particles using forces induced by optical fields [1]. Notably, S. Kawata *et al* have demonstrated that optical near-fields can also produce forces to move micron-sized particles [2]. Optical near-field has an advantage that it can be created on a localized area that is not exposed to the Rayleigh criterion [3]. Therefore, there are possibilities to create near-field enhanced patterns which are not subject to the diffraction limits of light. The work reported in this paper deals with manipulating and ordering submicron-sized particles dispersed in liquid using forces induced from optical near-fields. Researches involving direct manipulation of submicron-sized particles in liquid using optical near-fields have been reported by other groups; focused beam with a high numerical aperture objective lens and lithographically defined wave guides have been proposed for manipulating single or several particles [4][5]. We propose a method to order extensive particles into patterns using optical near-fields formed by multi-beam interference fringes exerted at a total internal reflection (TIR) interface. Our objective is to

study the mass ordering of submicron-sized particles in a patterned optical near-field. In this paper we; 1) provide numerical estimations to derive the influence of the optical near-field pattern upon particles and 2) show experimental results of particle propulsion and ordering directed by optical near-field.

EXPERIMENTAL SETUP

The schematic of our setup is provided in Fig. 1. Samples of mono dispersed micron- and submicron-sized polystyrene particles or gold particles in water were each sealed between 100 μ m spaced borosilicate glass plates, and coupled to a BK7 prism using immersion oil with near matching refractive index. The glass plates were cleaned before being assembled into a target cell; they were sonicated with acetone and then with ethanol for 5 minutes respectively, dried in ambient air, and baked in an oxygen plasma oven for 10 minutes. The mono dispersed particles were sealed immediately after the cleaning of the glass plates. All experiments were done within 3 hours after the preparation of the target cell. For observations, the target cell was illuminated with incoherent white light and monitored through an objective lens. A color filter was placed after the objective lens to cut off scattering of the optical near-field by particles. Sequential images were recorded with a charged coupled device (CCD) camera. The incident angle at the glass-water TIR interface was set to an angle larger than the critical angle.

For experiments on particle propulsion, ϕ 500nm polystyrene spheres (Polyscience Inc. Polybead® 07307) and ϕ 250nm gold spheres (BBInternational Ltd. EM.GC250) were used. They were diluted with distilled water to 1.82×10^9 particles/ml and 3.60×10^8 particles/ml respectively. A linear polarized continuous wave laser with a TEM₀₀ Gaussian beam intensity profile and wavelength of 1064nm (Coherent Inc.

Compass 1064) was converged into an elliptical spot of $100 \times 240 \mu\text{m}$ at the TIR interface of the target cell without using the beam splitters. P-polarization was used.

For experiments on particle ordering, $\phi 1 \mu\text{m}$ polystyrene spheres (Polyscience Inc. Polybead® 07310) diluted with distilled water to 2.28×10^8 particles/ml was used. A linear polarized continuous wave laser with a TEM₀₀ Gaussian beam and wavelength of 1064nm (Keopsys Inc. KPS-BT2-SLM-YFL-1064-30-PM-COL) was split into 4 beams, converged, and merged into an elliptical spot of $50 \times 120 \mu\text{m}$ at the TIR interface of the target cell. The beams were arranged to create $5 \mu\text{m}$ pitched interference fringes. S-polarization was used. The fringes were visualized, as shown in Fig. 2, by integrating sequential images of light scattered from particles without white light illumination and color filter.

NUMERICAL ESTIMATIONS

To derive the influence of the optical near-field pattern upon the particles above the TIR interface of our setup, we have performed estimations based on dipole approximation. It is known that a dielectric or metallic particle which is smaller than the wavelength of light and is located in an optical field gradient can be considered as a dipole [6][7]. If the particles are suspended in liquid, the force F induced upon a particle by the optical field is the composition of the gradient force F_{grad} , scattering force F_{scat} , and absorbance force F_{abs} given by [8],

$$\begin{aligned} F &= F_{grad} + F_{scat} + F_{abs}, \\ F_{grad} &= \frac{1}{2} \alpha \langle \nabla \langle E^2 \rangle \rangle, \\ F_{scat} &= n_m \langle S \rangle k_m^4 |\alpha|^2 / (6\pi c), \\ F_{abs} &= n_m \langle S \rangle k_m \text{Im}(\alpha) / c, \end{aligned} \quad (1)$$

where α is the polarizability of the particle, $\langle E^2 \rangle$ is the time averaged electrical field strength of the optical field, n_m and k_m are the index of refraction and wave number of the surrounding medium, $\langle S \rangle$ is the time averaged Poynting vector, and c is the speed of light in vacuum. For spherical particles, polarizability α is given by [9],

$$\alpha = 3V \varepsilon_0 \varepsilon_m (\varepsilon_p - \varepsilon_m) / (\varepsilon_p + 2\varepsilon_m), \quad (2)$$

where V is the volume of the particle, ε_0 , ε_p and ε_m are the dielectric constants of the

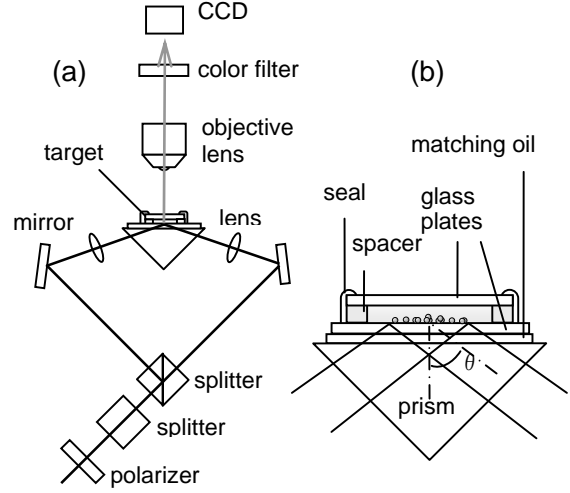


FIGURE 1: Schematic of the experimental setup; (a) overview and (b) detail of the target.



FIGURE 2: Light scattering of interference fringes by $\phi 80\text{nm}$ gold particles (BBInternational Ltd. EM.GC80). A total of 100 consecutive frames were integrated at an exposure rate of 30ms/frame. Scale: $10 \mu\text{m}$.

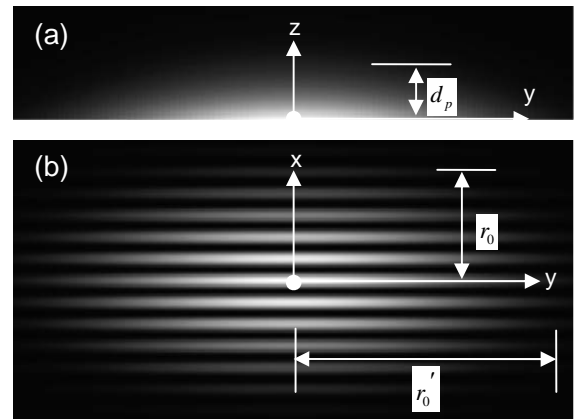


FIGURE 3: Computer simulated beam intensity profile of the near-field pattern above the TIR interface. Intensities are stronger in light colored areas.

vacuum, particle, and surrounding medium respectively. We assume a s-polarized incident light at the TIR interface and that the inclusive profile of the beam intensity of the optical near-field pattern above the TIR interface, as shown in Fig. 3, to be [10],

$$\begin{aligned} I &= I_0 \exp\left\{-2\left[\left(\frac{x}{r_0}\right)^2 + \left(\frac{y}{r_0'}\right)^2\right]\right\} \exp(-2z/d_p), \\ I_0 &= I_i 4n_s^2 \cos^2 \theta / (n_s^2 - n_m^2), \\ d_p &= \lambda_0 / 2\pi \sqrt{n_s^2 \sin^2 \theta - n_m^2}, \end{aligned} \quad (3)$$

where r_0' and r_0 are the semi major and semi minor axis of the intensity profile at the TIR interface, d_p is the penetration depth of the near-field radiation above the TIR interface, n_s is the index of refraction of the substrate, θ is the incident angle which is larger than the critical angle, I_i is the intensity of incident light, and λ_0 is the wavelength of the incident light in vacuum. It is clear that the gradient force, scattering force, and absorption force become significantly larger as the particle approaches the vicinity of the TIR interface. The maximal gradient force F_{grad}^{max} , the maximal scattering force F_{scat}^{max} , and the maximal absorbance force F_{abs}^{max} , at the TIR interface, are given by,

$$\begin{aligned} F_{grad}^{max} &\geq I_0 |\alpha| \exp(-1/2) / (n_m \varepsilon_0 c r_0), \\ F_{scat}^{max} &\leq 4\pi^3 I_0 n_m^5 |\alpha|^2 / (\lambda^4 c), \\ F_{abs}^{max} &\leq 2\pi^2 I_0 n_m^2 \text{Im}(\alpha) / (\lambda c), \\ \lambda &= \lambda_0 / (n_s \sin \theta). \end{aligned} \quad (5)$$

For $\varnothing 250\text{nm}$ gold particles in water; using $r_0 = 21.5$ (μm), $\lambda_0 = 1064$ (nm), $\varepsilon_p = -55.5 + 5.62i$ [11], and $\varepsilon_m = 1.325$ [12], we find that,

$$F_{grad}^{max} \gg F_{scat}^{max}, F_{grad}^{max} \gg F_{abs}^{max}. \quad (6)$$

Because the influence of the gradient force is dominant, the force induced upon a particle can be approximated as,

$$F \cong F_{grad} = \frac{1}{2} |\alpha| \nabla \langle E^2 \rangle. \quad (7)$$

The potential energy U induced by the optical field is then given by,

$$U \cong \frac{1}{2} |\alpha| \langle E^2 \rangle = I |\alpha| / (2n_m \varepsilon_0 c). \quad (8)$$

Particles are pushed towards light intensive areas of the optical near-field pattern. To retain the particles in a pattern, the potential energy must be larger compared to thermal energy $k_b T$, where k_b is the Boltzmann constant and T is the absolute temperature [13]. At $T = 298.15$ (K),

$\theta = 61^\circ$, and using incident light of 500mW, the potential energy induced by the optical field within the full width at half maximum of I follows,

$$4.1 \leq U / (k_b T) \leq 8.4. \quad (9)$$

This indicates that the gold particles are directed onto the optical near-field pattern at the TIR interface.

EXPERIMENTAL RESULTS

Fig. 4 shows sequential images of particles propelled by optical near-field forces. The color has been converted to pseudo color so that particles show as light intensive spots. Back ground noise has been subtracted. Particles moved alongside the major axis of the elliptically illuminated spot at the TIR interface. Taking the losses of irradiance at the lenses, mirrors, and beam splitters into account, the calculated power of incident light at the TIR interface was 2.5W and 0.74W for $\varnothing 500\text{nm}$ polystyrene spheres and $\varnothing 250\text{nm}$ gold spheres respectively.

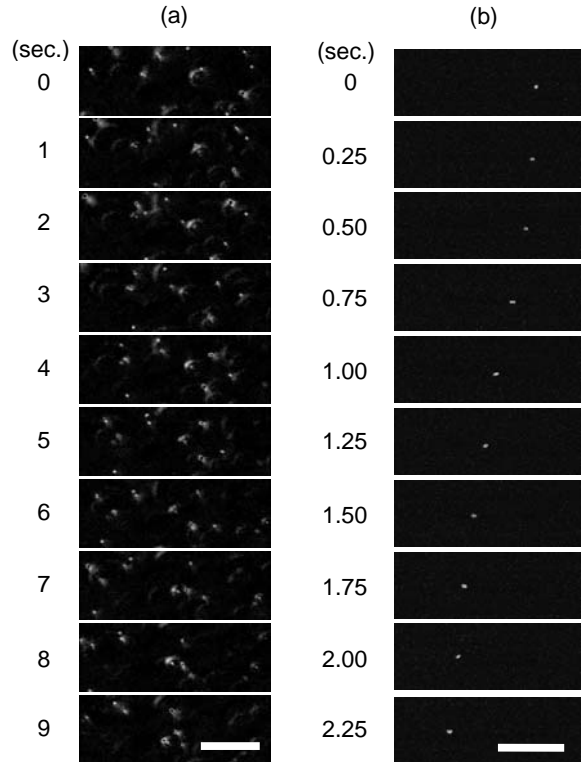


FIGURE 4: Sequential images of particles being propelled by optical near-field forces at the vicinity of the glass-water TIR interface. (a) $\varnothing 500\text{nm}$ polystyrene spheres. (b) $\varnothing 250\text{nm}$ gold spheres. Scales: $10\mu\text{m}$.

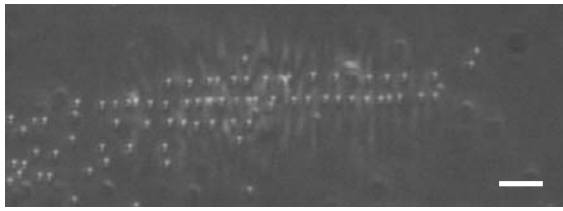


FIGURE 5: A batch of $\varnothing 1\mu\text{m}$ polystyrene spheres being directed onto a $5\mu\text{m}$ pitched line and space near-field pattern. Scale: $10\mu\text{m}$.

The speed of propulsion was $2.7\mu\text{m/s}$ and $15\mu\text{m/s}$ respectively.

Fig. 5 shows $\varnothing 1\mu\text{m}$ polystyrene spheres directed onto an optical near-field pattern with $5\mu\text{m}$ pitched line and space. The calculated power of incident light at the TIR interface was 0.58W .

CONCLUSIONS

In this paper we have provided numerical estimations to derive the influence of the optical near-field pattern upon particles and have shown experimental results of particle propulsion and ordering directed by optical near-field. It has been indicated that submicron-sized gold spheres can be directed onto a pattern by optical near-fields. In addition to the propulsion of submicron-sized polystyrene or gold spheres, ordering of polystyrene spheres with size affinity to the incident light's wavelength have also been demonstrated.

REFERENCES

1. A. Ashkin, 1970, Acceleration and trapping of particles by radiation pressure, *Physical Review Letters*, 24 (4): pp.156-159.
2. S. Kawata and T. Sugiura, 1992, Movement of micrometer-sized particles in the evanescent field of a laser beam, *Optics Letters*, 17 (11): pp.772-774.
3. U. Ch. Fischer and H. P. Zingsheim, 1981, Submicroscopic pattern replication with visible light, *Journal of Vacuum Science and Technology*, 19 (4): pp.881-885.
4. M. Gu, J. Haumonte, Y. Micheau, J.Chon and X. Gan, 2004, Laser trapping and manipulation under focused evanescent wave illumination, *Applied Physics Letters*, 84 (21): pp.4236-4238.
5. L. N. Ng, B. J. Luff, M. N. Zervas and J. S. Wilkinson, 2002, Propulsion of gold nanoparticles on optical waveguides, *Optics Communications*, 208: pp.117-124.
6. James P. Gordon, 1973, Radiation forces and momenta in dielectric media, *Physical Review A*, 8 (1): pp14-21.
7. Karel Svoboda and Steven M. Block, 1994, Optical trapping of metallic Rayleigh particles, *Optics Letters*, 19 (13): pp.930-932.
8. Craig F. Bohren and Donald R. Huffman, 1983, *Absorption and Scattering of Light by Small Particles*, A Wiley-Interscience Publication, Chap. 5.
9. J. N. Israelachvili, 1992, *Intermolecular and Surface Forces* 2nd Ed., Academic Press Ltd., Chap. 5: 5.7.
10. John M. Guerra, 1990, Photon tunneling microscopy, *Applied Optics*, 29 (26): pp.3741-3752.
11. C.L. Foiles, Editor: K.-H. Hellwege, J.L. Olsen, 1985, *Landolt-Börnstein, Condensed Matter, III/15B*, pp.222-235.
12. National Astronomical Observatory (Japan), 2000, *Chronological Scientific Tables*, Maruzen Co. Ltd., p.531.
13. A. Ashkin, J. M. Dziedzic, J. E. Bjorkholm, and Steven Chu, 1986, Observation of a single-beam gradient force optical trap for dielectric particles, *Optics Letters*, 11 (5): pp.288-290.