An Empirical Survey on the Influence of Machining Parameters on Tool Wear in Diamond Turning of Large Single Crystal Silicon Optics

D. K. Born, C. Syn, P. Davis, M. Zimmermann, K. Blaedel, J. Carr, J. Haack
Lawrence Livermore National Laboratory, Livermore CA 94551
M. R. McClellan, Schafer Corp., Livermore CA 94550
W. A. Goodman, Schafer Corp., Calabasas CA 91302

1. INTRODUCTION

The research described in this paper is a continuation of the collaborative efforts by Lawrence Livermore National Laboratory (LLNL), Schafer Corporation and TRW to develop a process for single point diamond turning (SPDT) of large single crystal silicon (SCSi) optical substrates on the Large Optic Diamond Turning Machine (LODTM). The principal challenge to obtaining long track lengths in SCSi has been to identify a set of machining parameters which yield a process that provides both low and predictable tool wear. Identifying such a process for SCSi has proven to be a formidable task because multiple crystallographic orientations with a range of hardness values are encountered when machining conical and annular optical substrates. The LODTM cutting program can compensate for tool wear if it is predictable. However, if the tool wear is not predictable then the figured area of the optical substrate may have unacceptably high error that can not be removed by post-polishing. The emphasis of this survey was limited to elucidating the influence of cutting parameters on the tool wear. We present two preliminary models that can be used to predict tool wear over the parameter space investigated.

During the past two and one-half years a series of three evolutionary investigations were performed. The first investigation, the Parameter Assessment Study (PAS), was designed to survey fundamental machining parameters and assess their influence on tool wear [1]. The results of the PAS were used as a point-of-departure for designing the second investigation, the Parameter Selection Study (PSS). The goal of the PSS was to explore the trends identified in the PAS in more detail, to determine if the experimental results obtained in the PAS could be repeated on a different diamond turning machine (DTM), and to select a more optimal set of machining parameters that could be used in subsequent investigations such as the Fluid Down-Select Study (FDS). The goal of the FDS was to compare the performance of water, polyalkaline glycol (PAG) and HT200 as a cutting fluid for SCSi, and select a single baseline cutting fluid from this set for use in future SCSi SPDT efforts. Synopses of the experimental work and results of the PAS and PSS are now presented.

2. PARAMETER ASSESSMENT STUDY AND RESULTS

The cutting parameters surveyed during the PAS included depth of cut, surface velocity, feed rate, tool nose radius, rake angle and side rake angle, machined area and cutting fluid. The range of cutting parameters was selected to achieve material removal via the ductile mode [2-4]. Experimental design techniques were used to reduce the full factorial set of experiments to a reduced set of fourteen machining experiments, shown in Table 1 [1,5]. Multiple parameters were varied in each experiment, which allowed optimum statistical analysis of the data.

The PAS was performed on the LLNL DTM II, a precision lathe with a horizontal air bearing spindle and rolling element axes. Each experiment used a freshly sharpened diamond tool to cut a 25.4 mm wide track around an initially damage-free 143.5 mm diameter by 254 mm long (100) SCSi boule. Seven experimental tracks were cut prior to restoring the boule to a damage-free condition and completing the last 7 experiments.

Figure 1 shows the tool geometry used. The clearance angle was 7° for all tools. The tool nose profile was measured before and after each experiment by rotating an air bearing linear variable differential transformer (LVDT) probe around the nose of the tool. An example tool trace is shown in Figure 2. The x-axis shows the position along the tool radius in degrees while the y-axis shows the measured tool profile. The tool nose recession (wear) was calculated by taking the difference between the before and after tool nose profiles The wear pattern was observed using both optical and scanning electron microscopes. Figure 3 shows a SEM photograph of the tool wear. The rake face has developed a typical chip crater. Although the chip crater may eventually cause catastrophic failure of the tool, we are more concerned with the wear flat between the rake face and clearance face. This wear flat will produce unacceptable figure error in the part prior to tool failure.

The PAS was very successful in identifying machining parameter influences on tool wear. Since each parameter had a low and high value only simple, linear trends were made. Analysis of the Table 1 data indicated that low tool wear was attainable if: 1) the feed rate was high, 2) the depth of cut was low, 3) the cutting velocity was high, 4) the rake angle was negative, 5) the side rake angle was zero degrees and 6) the tool radius was large.

3. PARAMETER SELECTION STUDY AND RESULTS

The PSS was performed on the LLNL Phoenix DTM due to unavailability of DTM II. The Phoenix DTM, shown in Figure 4, is a precision lathe with a horizontal air bearing spindle and rolling element axes, and the same spindle design as the DTM II. The Phoenix DTM was outfitted with PAG compatible Tygon tubing prior to performing the experiments.

The PSS utilized the same experimental design techniques used in the PAS. Seven new experiments were designed and two PAS experiments (#1 and #2) were duplicated to confirm machine-to-machine repeatability. The new experiments explored the effects of increased feed rate, increased tool radius, increased negative rake angle, increased cutting area, decreased surface velocity, and eliminated side rake angle while retaining the same values for depth of cut. Again, freshly sharpened tools were used to cut the refurbished PAS SCSi boule. A single 25.4 mm wide track and three 50.8 mm wide tracks were cut prior to restoring the boule to a damage-free condition and completing the remaining experiments.

The experiments and results of the PSS are presented in Table 2. The duplicated PAS experiments showed that the SPDT of SCSi is repeatable over time and on different machines. A description of the tool wear models that were developed is required to discuss the influence of machining parameters on the tool wear. Two separate, yet similar, models were developed: the LLNL Tool Wear model is an extension of the Taylor Tool Life Equation, and the Goodman Tool Wear algorithm is an extension of the uncut chip thickness relationship.

4. MODEL DEVELOPMENT

The LLNL Tool Wear model departs from the expanded version of the Taylor Tool Life Equation that relates tool lifetime (T) to feed rate (f), depth of cut (doc), velocity (V) and a tool geometry constant (K) [6].

$$T = \frac{K}{V^{1/n} \; f^{1/n1} \; doc^{1/n2}} \qquad (1)$$

Equation (1) assumes that cutting speeds are high enough to ignore edge effects. Since this study uses relatively low speeds, the exponential relationship for velocity is not appropriate. Therefore, a quadratic expression that does not force tool wear to monotonically increase as velocity increases was used. The machine, machine operator and coolant delivery system differed in the PAS and PSS. Therefore, I_{f2} (for fluid #2) and I_{m1} (for DTM II) were introduced as binary parameters, having a value of unity if the condition of use was true, a value of zero if false. Tool wear as a function of tool rake angle was incorporated using a quadratic equation to define regions of high and low tool wear. Side rake angle was excluded from the model because data analysis showed little correlation with tool wear. Based on these premises, the LLNL Tool Wear Model is:

$$W = \left(a_1 V^2 + a_2 V\right) f^{a3} doc^{a4} \left(a_5 \alpha^2 + a_6 \alpha + a_7 I_{f2} + a_8 I_{m1} + 1\right) \qquad (2)$$

where I_{f2} is a unique constant for cutting fluid #2, I_{m1} is a unique constant for DTM II, and α is negative rake angle. The constants a_1-a_8 are determined from the experimental data. Equation (2) was fit to the experimental data in Tables 1 and 2 using a non-linear least squares algorithm. The tool wear data and model predictions are compared in Table 3. The results of the study show that: (1) tool radius, skew and area do not significantly affect the tool wear; (2) fluid #1 and fluid #3 affect tool wear in the same way, and fluid #2 minimizes the tool wear; (3) the best velocity within the range tested is 750 ft/min (maximum within the range tested) and the worst velocity is 389 ft/min; (4) the best feed rate is 250 μin/min (maximum within the range tested); (5) the best depth of cut is 40 μin (minimum within the range tested); and (6) the best rake angle is -30°. while the worst rake angle is -60°.

The Goodman Tool Wear algorithm, Equation (3), includes terms from the uncut chip relation, the tool radius (r), the machined area, rake angle (α), skew angle (β) and work energy. The constants x1-x7 were found using Schafer's proprietary non-linear optimization program to fit the data. Table 3 shows that the calculated tool wear is in excellent agreement with the actual tool wear. Equation (3) favors a high negative rake angle, no skew and a large tool nose radius, but otherwise agrees with the LLNL Tool Wear Model predictions.

$$W = (x1)\,[(f)^{x2}\,(doc/r^2)^{x3}]\,(Area)^{x4}\,(1/\sin\alpha)^{x5}\,(\sin\beta)^{x6}\,(V)^{x7} \qquad (3)$$

Table 1. PAS Experimental Matrix and Resultant Tool Wear

Exp #.	***Surface Velocity***	***Feed Rate (μin/rev)***	***Depth of Cut***	***Tool Radius***	***Rake Angle***	***Side rake Angle***	***Area (in^2)***	***Cutting Fluid****	***Tool Wear***

	(ft/min)		(μin)	(in)	(degrees)	(degrees)			(μin)
1	750	50	200	0.06	0	0	15.7	1	25.2
2	100	120	200	0.06	-40	0	15.7	1	6.8
3	100	120	40	0.03	0	40	15.7	1	19.5
4	750	50	40	0.06	0	40	15.7	1	12.7
5	100	50	40	0.03	-40	40	15.7	1	23.9
6	100	120	200	0.03	0	0	15.7	2	9.2
7	750	120	40	0.03	-40	0	15.7	2	2.6
8	100	50	40	0.03	0	40	15.7	2	30.5
9	750	120	200	0.06	0	40	15.7	2	5.5
10	100	50	200	0.06	-40	40	15.7	2	5.2
11	750	50	200	0.03	0	0	15.7	3	17.4
12	100	50	40	0.06	-40	0	15.7	3	20.3
13	100	120	40	0.06	0	40	15.7	3	16.9
14	750	120	200	0.03	-40	40	15.7	3	4.4

* Fluid 1=PAG; Fluid 2=PAG with water; Fluid 3=PAG with water and tri-potassium phosphate

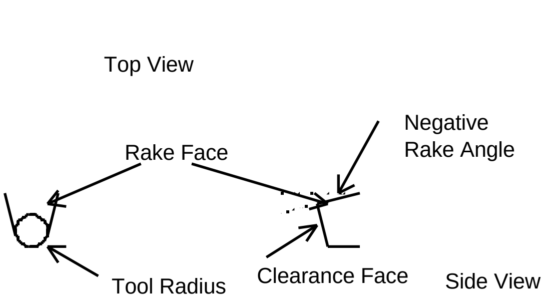

Figure 1. Tool Geometry

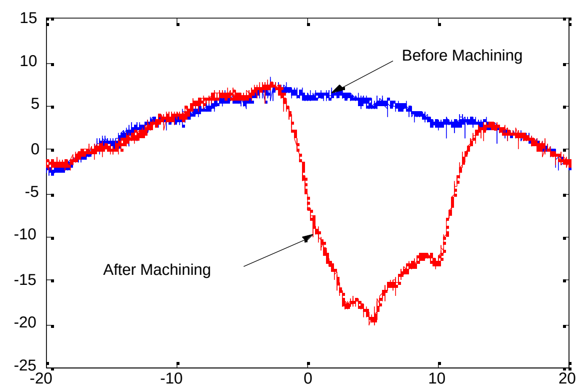

Figure 2. Example Tool Profile Scans

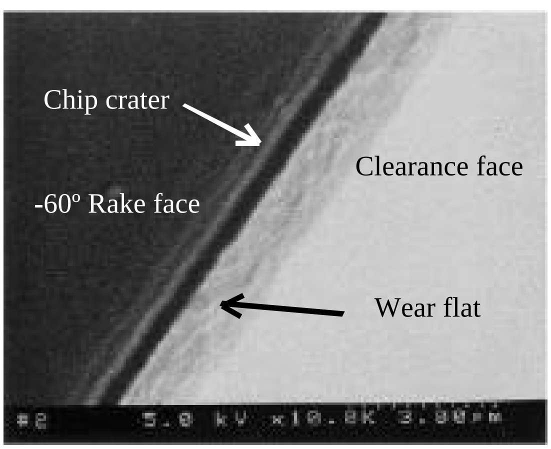

Figure 3. SEM Photograph of Tool Wear

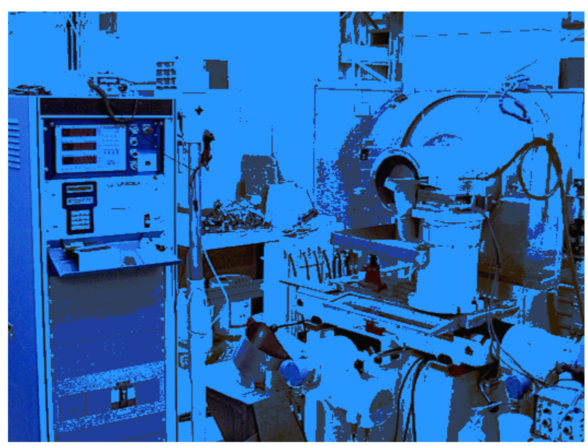

Figure 4. Phoenix DTM Used for PSS and FDS

Table 2. PSS Experimental Matrix and Resultant Tool Wear

Exp#.	*Velocity (ft/min)*	*Feed Rate (μin/rev)*	*DOC (μin)*	*Tool Radius (in)*	*Rake Angle (degrees)*	*Area (in^2)*	*Tool Wear (μin)*
Repeat of PAS #2	100	120	200	0.06	-40	15.7	7.0
1	100	50	200	0.06	-60	31.4	25.0
2	100	250	200	0.20	0	31.4	0.9

3	600	50	200	0.06	0	31.4	35.0
4	600	250	40	0.06	0	15.7	2.0
5	600	250	40	0.20	-60	31.4	2.75
6	100	250	200	0.20	0	31.4	1.0
7	**100**	**250**	**40**	**0.20**	**-60**	**31.4**	**5.0**
Repeat of PAS #1	750	50	200	0.06	0	15.7	31.0

PSS Experiment 7 Baselined for FDS Experiments

Table 3. Tool Wear Model Results

Experiment	Tool Wear (μin)	LLNL Model (μin)	Goodman Model (μin)
PAS #1	25.2	20.3	28.1
PAS #2	6.8	9.0	7.1
PAS #3	19.5	15.8	19.3
PAS #4	12.7	16.1	12.7
PAS #5	23.9	22.4	24.1
PAS #6	9.2	12.3	Not Modeled
PAS#7	2.6	0.36	Not Modeled
PAS #8	30.5	30.7	Not Modeled
PAS #9	5.5	4.0	Not Modeled
PAS #10	5.2	4.4	Not Modeled
PAS #11	17.4	20.3	Not Modeled
PAS #12	20.3	22.4	Not Modeled
PAS #13	16.9	15.8	Not Modeled
PAS #14	4.4	2.9	Not Modeled
Repeat of PAS #1	31.0	20.3	28.1
Repeat of PAS #2	7.0	9.0	7.1
PSS #1	25.0	25.1	24.8
PSS #2	0.9	2.65	1.83
PSS #3	35.0	34.5	35.1
PSS #4	2.0	3.3	1.33
PSS #5	2.75	3.8	0.13
PSS #6	1.0	2.7	1.83
PSS #7	5.0	2.4	0.25

1. C.K. Syn, D.A. Krulewich, P.J. Davis, M.R. McClellan, P.C. DuPuy, M.A. Wall, and K.L. Blaelel, "An Empirical Survey on the Influence of Machining Parameters in Diamond Turning of Large Single Crystal Silicon Optics", *ASPE Spring Topical Meeting on Silicon Machining Proceedings, 1998*, **17**, 44-49
2. P. N. Blake and R. O. Scattergood, "Ductile-regime machining of germanium and silicon", *Journal of the American Ceramic Society, 1990,* ***73 (4)****, 949-957*.
3. C. K.Syn, J. S. Taylor, R. R. Donaldson, and S. Shimada, *Proc. JSPE 1988 Spring Meeting, Kawasaki, Japan, pp. 781-782*.
4. W. S. Blackley and R. O. Scattergood, "Ductile-regime machining model for diamond turning of brittle materials", *Precision Engineering, 1991,* ***13 (2)****, 95-103*.
5. D.A. Krulewich, "Experimental design for single point diamond turning of silicon optics”, *UCRL-ID-127385, (1996)*
6. E.J.A. Armarego and R.H. Brown, **The Machining of Metals**, Prentice-Hall, Inc., N.J. (1969)